

PATENT
Attorney Docket No.: 52719.00009

11/20/02
P#
#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date: 11/17/02

By: Aaron Wining

In re Application of:

Li-Wen Chen

Serial No.: 09/483,386

Filed: January 13, 2000

Title: SYSTEM FOR VISUALIZING
INFORMATION IN A DATA
WAREHOUSING ENVIRONMENT

Examiner: Unknown

Art Unit: 2776

Commissioner for Patents
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97(e)(1)**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The information was first cited in a European search report from the European Patent Office in a counterpart international application not more than three months prior to the filing of the present supplemental information disclosure statement. The Examiner is requested to make this information of official record in the application. Please initial and return the enclosed Form PTO-1449, indicating that the references have been considered and made of record herein.

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The information presented herewith is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

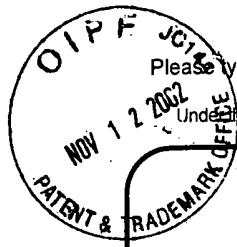
If for any reason an insufficient fee has been paid, please charge the insufficiency to
Deposit Account No. 05-0150.

Date: 11/17/02

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
Facsimile (650) 843-8777

Respectfully submitted,


Aaron Wininger
Attorney for Applicant
Reg. No. 45,229



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00) 2276
Approved for use through 10/31/2002. OMB 0651-0031
2176

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|-----|------------------------|-------------|
| Total Number of Pages in This Submission | N/A | Attorney Docket Number | 52719.00009 |
|--|-----|------------------------|-------------|

ENCLOSURES (check all that apply)

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) | <input type="checkbox"/> Assignment and Recordation Cover Sheet (for an Application) | <input type="checkbox"/> Request to Correct Filing Receipt |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Drawing(s) _____ Sheets | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> With RCE | <input checked="" type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input checked="" type="checkbox"/> Return Postcard |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Request for Continued Examination | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request (in duplicate) | <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input checked="" type="checkbox"/> 7 References | <input type="checkbox"/> Terminal Disclaimer | |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pages) | <input type="checkbox"/> Request for Refund | |
| <input checked="" type="checkbox"/> PTO Form 1449 (2 pages). (Original and one copy to be returned to us with Examiner's initials) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Declaration/Oath | | |

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | Aaron Wininger, Reg. No. 45,229 Squire, Sanders & Dempsey, L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043 |
| Signature | |
| Date | November 7, 2002 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

| | |
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| Typed or printed name | Aaron Wininger |
| Signature | |
| Date | November 7, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/483,386 |
| Filing Date | January 13, 2000 |
| First Named Inventor | Li-Wen Chen |
| Examiner Name | Unknown |
| Group / Art Unit | 2776 |
| Attorney Docket No. | 52719.00009 |

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METHOD OF PAYMENT (check all that apply)

Check Credit card Money Other None
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 Deposit Account:

Deposit Account Number

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Deposit Account Name

Squire, Sanders & Dempsey, L.L.P.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION (continued)

1. BASIC FILING FEE

Large Entity | Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001 | 740 | 2001 | 370 | Utility filing fee | |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 510 | 2003 | 255 | Plant filing fee | |
| 1004 | 740 | 2004 | 370 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$0)

2. EXTRA CLAIM FEES

| Total Claims | -20 ** | = | 0 | X | Fee from below | = | 0 | Fee Paid |
|--------------------|--------|---|---|---|----------------|---|---|----------|
| Independent Claims | -3 ** | = | 0 | X | | = | 0 | |
| Multiple Dependent | | | | X | | = | 0 | |

Large Entity | Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 | |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid | |
| 1204 | 84 | 2204 | 42 | ** Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2)

(\$0)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| | | 1051 | 130 | Surcharge - late filing fee or oath | |
| | | 1052 | 50 | Surcharge - late provisional filing fee or cover sheet | |
| | | 1053 | 130 | Non-English specification | |
| | | 1812 | 2,520 | For filing a request for reexamination | |
| | | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | 1251 | 110 | Extension for reply within first month | |
| | | 1252 | 400 | Extension for reply within second month | |
| | | 1253 | 920 | Extension for reply within third month | |
| | | 1254 | 1,440 | Extension for reply within fourth month | |
| | | 1255 | 1,960 | Extension for reply within fifth month | |
| | | 1401 | 320 | Notice of Appeal | |
| | | 1402 | 320 | Filing a brief in support of an appeal | |
| | | 1403 | 280 | Request for oral hearing | |
| | | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | | 1452 | 110 | Petition to revive – unavoidable | |
| | | 1453 | 1,280 | Petition to revive – unintentional | |
| | | 1501 | 1,280 | Utility issue fee (or reissue) | |
| | | 1502 | 460 | Design issue fee | |
| | | 1503 | 620 | Plant issue fee | |
| | | 1460 | 130 | Petitions to the Commissioner | |
| | | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| | | 1806 | 180 | Submission of Information Disclosure Stmt | |
| | | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| | | 1809 | 740 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 1810 | 740 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | 1801 | 740 | Request for Continued Examination (RCE) | |
| | | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

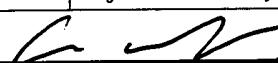
SUBTOTAL (3)

(\$0)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|----------------------------------|--------|-----------|------------------|
| Name (Print/Type) | Aaron Wininger | Registration No. Attorney/Agent) | 45,229 | Telephone | 650.856.6500 |
| Signature |  | | | Date | November 7, 2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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